

A

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**UTILITY
PATENT APPLICATION
TRANSMITTAL**
(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|--|
| Attorney Docket No. | 899-00085 |
| First Inventor | Neil A. Czarnecki |
| Title | Generator Status Information Display For Power Transfer Switch |
| Express Mail Label No. | EL812751539US |

See MPEP chapter 600 concerning utility patent application contents

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 16]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 2]
- ☒ Newly executed (original or copy)
- ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
 - a. ☐ Computer Readable Form (CFR)
 - b. Specification Sequent Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other: Request And Certification Under

35 USC 122(b)(2)(B)(i)

6. ☐ **Applicant Data Sheet.** See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: **Examiner** _____ **Group/Art Unit:** _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under B 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


18. CORRESPONDENCE ADDRESS

| | | |
|---|---|--|
| <input checked="" type="checkbox"/> Customer Number of Bar Code Label |  26753 PATENT TRADEMARK OFFICE | or <input type="checkbox"/> Correspondence address below |
|---|---|--|

| | | | | | |
|---------|---------------------------------------|-----------|----------------|----------|----------------|
| Name | William L. Falk, Reg. No. 27,709 | | | | |
| Address | ANDRUS, SCEALES, STARKE & SAWALL, LLP | | | | |
| | Suite 1100, 100 East Wisconsin Avenue | | | | |
| City | Milwaukee | State | WI | Zip Code | 53202 |
| Country | USA | Telephone | (414) 271-7590 | Fax | (414) 271-5770 |

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as EXPRESS MAIL - POST OFFICE TO ADDRESSEE, in an envelope addressed to Commissioner For Patents, Washington, DC 20231 this 26th day of September, 2001

| | | | |
|----------------------|--|-----------------------------------|--------------------|
| Name (Print or Type) | Christine S. Lewis | Registration No. (Attorney/Agent) | |
| Signature |  | Date | September 26, 2001 |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 355.00

Complete if Known

Application Number

Filing Date

First Named Inventor

Neil A. Czamecki

Examiner Name

Group Art Unit

Attorney Docket No.

899-00085

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

01.2000

Deposit
Account
Name

ANDRUS, SCEALES, STARKE & SAWALL, LLP

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check☐ Credit card☐ Money
Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) |
|--------------------------|--------------------------|----------|----------|
| 101 | 201 | 710 | 355 |
| 106 | 206 | 320 | 160 |
| 107 | 207 | 490 | 245 |
| 108 | 208 | 710 | 355 |
| 114 | 214 | 150 | 75 |

Fee Description

Fee Paid

Utility filing fee

355

Design filing fee

0

Plant filing fee

0

Reissue filing fee

0

Provisional filing fee

0

SUBTOTAL (1) (\$) 355.00

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 10 | -20** = 0 | 0 | 0 |
| 2 | -3** = 0 | 0 | 0 |
| Multiple Dependent | | | |

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) |
|--------------------------|--------------------------|----------|----------|
| 103 | 203 | 18 | 9 |
| 102 | 202 | 80 | 40 |
| 104 | 204 | 270 | 135 |
| 109 | 209 | 80 | 40 |
| 110 | 210 | 18 | 9 |

Fee Description

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

**Reissue independent claims
over original patent**Reissue claims in excess of 20
and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater, - For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|--------------------------|--------------------------|----------|----------|--|----------|
| 105 | 205 | 130 | 65 | Surcharge - late filing fee or oath | 0 |
| 127 | 227 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | 0 |
| 139 | 139 | 130 | 130 | Non-English specification | 0 |
| 147 | 147 | 2,520 | 2,520 | For filing a request for <i>ex parte</i> reexamination | 0 |
| 112 | 112 | 920* | 920* | Requesting publication of SIR prior to Examiner action | 0 |
| 113 | 113 | 1,840* | 1,840* | Requesting publication of SIR after Examiner action | 0 |
| 115 | 215 | 110 | 55 | Extension for reply within first month | 0 |
| 116 | 216 | 390 | 195 | Extension for reply within second month | 0 |
| 117 | 217 | 890 | 445 | Extension for reply within third month | 0 |
| 118 | 218 | 1,390 | 695 | Extension for reply within fourth month | 0 |
| 128 | 228 | 1,890 | 945 | Extension for reply within fifth month | 0 |
| 119 | 219 | 310 | 155 | Notice of Appeal | 0 |
| 120 | 220 | 310 | 155 | Filing a brief in support of an appeal | 0 |
| 121 | 221 | 270 | 135 | Request for oral hearing | 0 |
| 138 | 138 | 1,510 | 1,510 | Petition to institute a public use proceeding | 0 |
| 140 | 240 | 110 | 55 | Petition to revive - unavoidable | 0 |
| 141 | 241 | 1,240 | 620 | Petition to revive - unintentional | 0 |
| 142 | 242 | 1,240 | 620 | Utility issue fee (or reissue) | 0 |
| 143 | 243 | 440 | 220 | Design issue fee | 0 |
| 144 | 244 | 600 | 300 | Plant issue fee | 0 |
| 122 | 122 | 130 | 130 | Petitions to the Commissioner | 0 |
| 123 | 123 | 50 | 50 | Processing fee under 37 CFR 1.17(q) | 0 |
| 126 | 126 | 180 | 180 | Submission of Information Disclosure Stmt | 0 |
| 581 | 581 | 40 | 40 | Recording each patent assignment per property (times number of properties) | 0 |
| 146 | 146 | 710 | 355 | Filing a submission after final rejection (37 CFR § 1.129(b)) | 0 |
| 149 | 149 | 710 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | 0 |
| 179 | 179 | 710 | 355 | Request for Continued Examination (RCE) | 0 |
| 169 | 169 | 900 | 900 | Request for expedited examination of a design application | 0 |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)

William L. Falk

Registration No.
(Attorney/Agent)

27,709

Complete (if applicable)

Telephone

(414) 271-7590

Signature

William L. Falk

Date

September 26, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

| | | |
|--|----------------------|--|
| REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | Neil A. Czamecki |
| | Title | Generator Status Information Display For Power Transfer Switch |
| | Atty. Docket Number | 899-00085 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 26, 2001

Date



Signature

William L. Falk

Registration No. 27,709

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(b)(iii)).**

FORM 092601 SEP 26 2001